

# PHOTOELECTROCHEMICAL HYDROGEN PRODUCTION: DOE PEC Working Group Overview

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University of Hawaii at Manoa

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D.O.E Hydrogen Program Review  
Arlington, VA

**# PD032**

*This presentation does not contain any proprietary or confidential information*



# OVERVIEW

## The US DOE WORKING GROUP ON PHOTOELECTROCHEMICAL (PEC) HYDROGEN PRODUCTION



**Roxanne Garland: Group Chair**  
*U.S. Department of Energy*

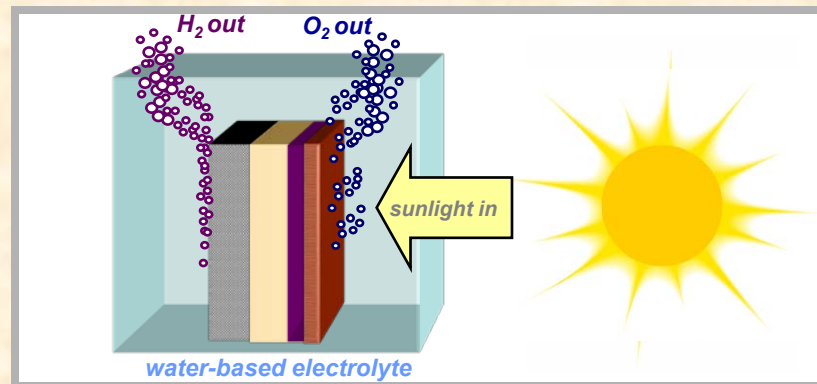
**Eric Lars Miller: Group Co-Chair**  
*University of Hawaii at Manoa*

# OVERVIEW *PEC WORKING GROUP*

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***The US DOE Photoelectrochemical (PEC) Working Group brings together Academic, Industry and National Laboratory leaders in the research and development of practical PEC semiconductor systems to produce hydrogen via solar water-splitting***

***photoelectrode-based  
PEC solar-H<sub>2</sub> production:***



***MULTIPLE PEC PROJECTS ARE FUNDED BY DOE: BUDGETS AND TIME-LINES VARY***

***WORKING GROUP ADDRESSES ALL PEC BARRIERS, INCLUDING:***

***Y: Materials Efficiency, Z: Materials Durability,***

***AB: Bulk Materials Synthesis, AC: Device Configuration Designs***





# OVERVIEW *GROUP PARTNERS*



- *Stanford University*
- *National Renewable Energy Laboratory*
- *Lawrence Livermore National Laboratory*
- *University of California, Santa Barbara*
- *University of Nevada Las Vegas*
- *MVSystems Incorporated*
- *University of Hawaii at Manoa*
- *Midwest Optoelectronics*
- *University of Arkansas, Little Rock*
- *Physical Optics Corporation*
- *Synkera Technologies*
- *University of Nevada Reno*

oral poster

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# RELEVANCE **WORKING GROUP GOALS**

*The DOE PEC Working Group's primary objective is to develop practical solar hydrogen-production technology, using innovative semiconductor materials & devices R&D to foster the needed scientific breakthroughs for meeting DOE Hydrogen Program goals*

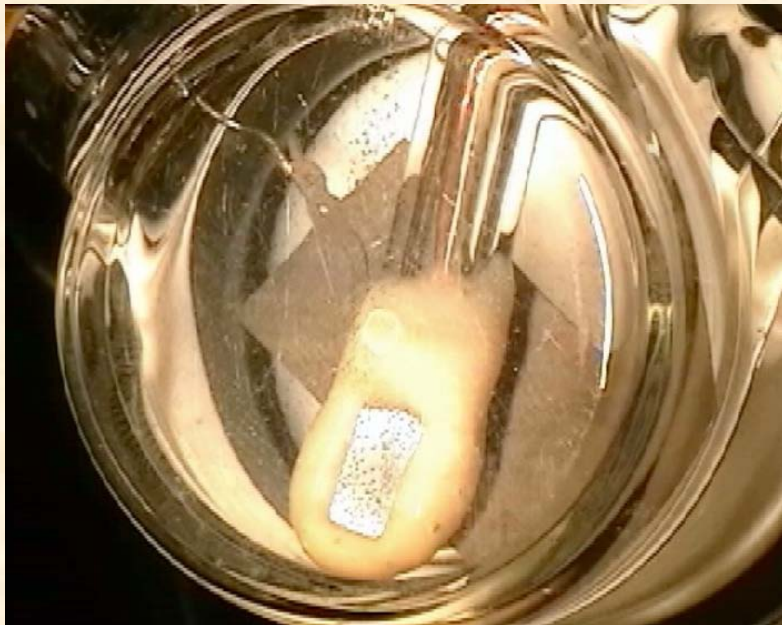
## DOE PEC "Multi-Year Program Plan" Targets

**Table 3.1.10. Technical Targets: Photoelectrochemical Hydrogen Production<sup>a</sup>**

Characteristics	Units	2003 Status	2006 Status	2013 Target	2018 Target <sup>b</sup>
Usable semiconductor bandgap <sup>c</sup>	eV	2.8	2.8	2.3	2.0
Chemical conversion process efficiency (EC) <sup>d</sup>	%	4	4	10	12
Plant solar-to-hydrogen efficiency (STH) <sup>e</sup>	%	not available	not available	8	10
Plant durability <sup>f</sup>	hr	not available	not available	1000	5000

# RELEVANCE *THE PEC PROMISE*

## IMPORTANT LABORATORY BENCHMARK



- *NREL High-performance (>12%) GaInP/GaAs tandem PEC cell demonstrates technological feasibility of PEC H<sub>2</sub> production*
- *Durability has been limited, and cost prohibitive with the III-V materials*
- **PRACTICE PEC hydrogen production requires materials systems with high-efficiency , long-life & low cost.**
- **The development of new semiconductor materials meeting ALL criteria is the key objective of the PEC working group efforts**

# RELEVANCE *THE PEC CHALLENGE*

**NO** Material System satisfies *ALL* requirements to practically split  $\text{H}_2\text{O}$ :  
 Many have potential... *Thus the PROMISE & CHALLENGE of PEC*

## ➤ ABSORBER MATERIAL

- Sunlight conversion depends on bulk optical bandgap
- Nature of optical transitions ('direct' vs. 'indirect') is important
- Good bulk transport properties key to harnessing photo-carriers

## ➤ INTERFACE DESIGN

- Band-edge alignment important to reaction energetics
- Surface bandgap plays an important role at interface
- Interface kinetics critical in harnessing photo-carriers

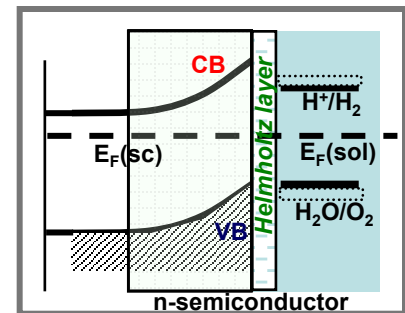
## ➤ INTEGRATED DEVICE DEVELOPMENT

- Multi-junction configurations important for maximum solar utilization
- Auxiliary components and proper integration key to efficiency

## ➤ HYDROGEN PRODUCTION SYSTEM DEVELOPMENT

- Balance of plant considerations could limit practicality

## ➤ COST OF LARGE-SCALE FABRICATION



# PEC WORKING GROUP APPROACH

Collaborative efforts integrating state-of-the-art theoretical, synthesis and analytical techniques to identify and develop the most promising materials classes to meet the PEC challenges in efficiency, stability and cost.

**PEC R&D**  
“Tool Chest”



➤ **THEORY: Materials & Interface Modeling**

–*Theoretical Calculations of Semiconductor Band Structures*

➤ **SYNTHESIS: Materials Discovery / Development**

–*Physical and Chemical Vapor Deposition*

–*Combinatorial & Manufacture-Scale Synthesis Techniques*

➤ **ANALYSIS: Materials & Device Characterization**

–*Physical/Solid-State Electronic/Optoelectronic Properties*

–*Solid-Solid & Solid-Liquid Interface Characteristics*

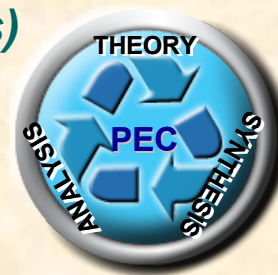
–*Photoelectrochemical Behavior Analysis*

–*Techno-Economics Systems Analysis*



# PEC WORKING GROUP APPROACH

- The PEC tool-chest used to develop promising materials systems for meeting DOE targets using the following strategies:
  - *Further development of the “standard” PEC semiconductor thin-films and nano-structures for higher efficiencies (e.g., iron-oxide and tungsten trioxide)*
  - *Development of efficient PV semiconductor thin-films and nano-structures for effective use in PEC (e.g., copper chalcopyrites and amorphous silicon compounds)*
  - *Development of new processes and technologies to reduce the cost and enhance the stability of high-performance (e.g., III-V nitrides)*
  - *Discovery and development of “new” materials classes based on advanced theories, and on the accumulated knowledge-base from PEC research efforts (e.g., MoS<sub>2</sub> nanoparticles)*



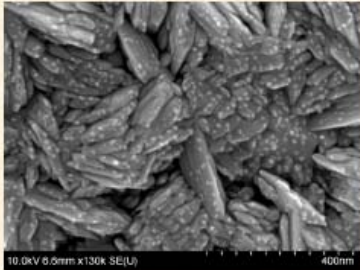
# PEC WORKING GROUP PROGRESS

## ➤ Tungsten-Oxide-Based Materials

– *Nicolas Gaillard (UH-HNEI)*

*a stable & inexpensive material used in PEC applications, but band-gap and interface need to be optimized*

PD054

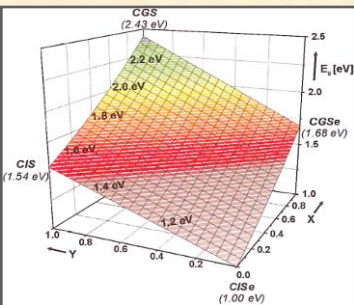


## ➤ Copper-Chalcopyrite-Based Materials

– *Jess Kaneshiro (UH-HNEI)*

*efficient photon absorbers with alloy-tunable bandgap, but interface needs to be improved and stabilized*

PD055

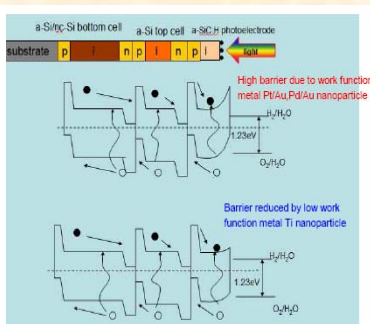


## ➤ Amorphous-Silicon-Based Materials

– *Arun Madan (MVS)*

*inexpensive & well-understood thin-films of variable bandgap, but interface needs to be improved and stabilized*

PD053



# PEC WORKING GROUP PROGRESS



## ➤ Iron-Oxide-Based Materials

– *Eric McFarland (UCSB)*

PD034

*inexpensive material of near-ideal bandgap, but extremely poor optoelectronic properties have limited its application*

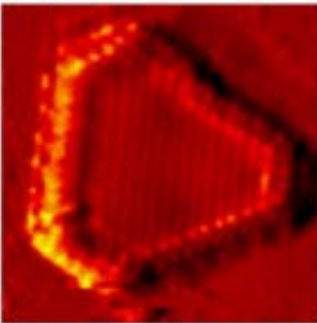


## ➤ Crystalline III-V-Based Materials

– *Todd Deutsch (NREL)*

PD035

*high quality semiconductor class with tunable bandgap, but interface stability and cost remain the primary barriers*



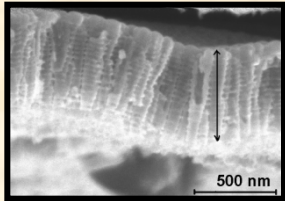
## ➤ MoS<sub>2</sub> / WS<sub>2</sub>-Based Materials

– *Tom Jaramillo (Stanford)*

PD033

*good hydrogen catalysts, but quantum-confinement is needed to raise bandgap for PEC, and stable configurations are needed*

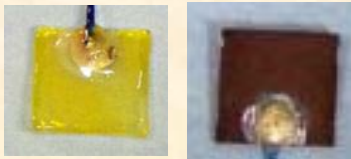
# PEC WORKING GROUP PROGRESS



## ➤ PEC Interfaces

– *Malay Mazumder (UALR)*

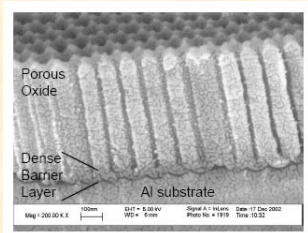
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## ➤ CdS & ZnTe Materials

– *Juan Hodelin (Physical Optics)*

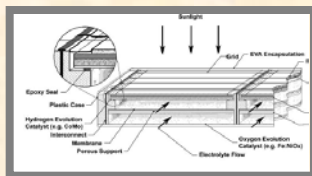
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## ➤ Nanotemplates for PEC

– *Rikard Wind (Synkera)*

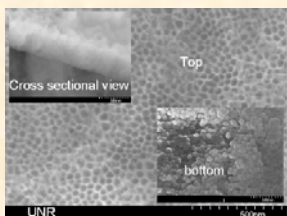
PD062



## ➤ Integrated PV/Electrolysis

– *William Ingler (MWOE)*

PD056

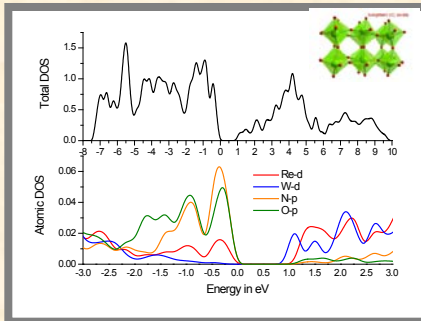


## ➤ TaON Nanotubes

– *Mano Misra (UNR)*

PD076

# PEC WORKING GROUP PROGRESS



## ➤ Advances in PEC Materials Theory

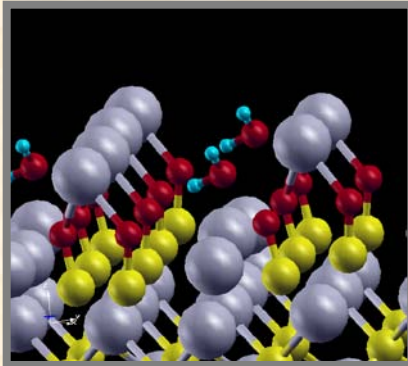
–Yanfa Yan (NREL)

PD052

–Tadashi Ogitsu (LLNL)

*sophisticated theoretical models of band states and bandgap, including effects of surface, interfaces and grain-boundaries, are vital to breakthroughs in PEC materials*

PD058

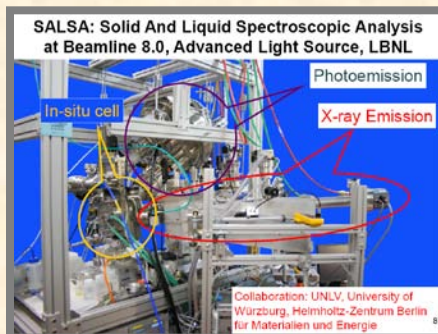


## ➤ PEC Characterizations Advances

–Clemens Heske (UNLV)

PD051

*state-of-the-art techniques in materials & surface characterizations, in-situ and ex-situ, are providing keys to understanding the complex PEC interface*



# PEC PROGRESS HIGHLIGHTS

## ➤ Significant Progress in Establishing PEC Testing Standards

- *First revision drafts of 16 protocol documents completed*
- *Summary paper published in Journal of Materials Science*
- *Website set up to facilitate international review/revision process*

## ➤ Advances in Characterization “Tool Chest”

- *UV/Soft X-ray/electron spectroscopic tools for evaluating optoelectronic and chemical properties of PEC materials’ surfaces, near-surfaces and bulk fully operational*
- *SALSA “Solid And Liquid Spectroscopic Analysis” characterization facility installed at LBNL for the in-situ evaluation of PEC semiconductor/electrolyte interfaces*

## ➤ Advances in PEC Materials Theory “Tool Chest”

- *First principle models of the PEC interface constructed based on III-V semiconductors exploring effects of O, H and OH termination*
- *Important correlations between surface morphology and H<sub>2</sub>O interactions found*
- *Theoretical band structures of new PEC materials classes investigated*

## ➤ Progress Toward DOE PEC Materials & Device Targets

- *Successful demonstration of bandgap tailoring in photoactive MoS<sub>2</sub> nanoparticles*
- *Identification of crystalline semiconductor device configurations based on current III-V materials with >15% STH conversion efficiency*
- *Identification of thin-film device configurations based on current chalcopyrite and silicon compound materials with >5% STH conversion efficiency*

# PEC STANDARDIZED TESTING

## KEY PROGRESS IN ESTABLISHING STANDARDS:

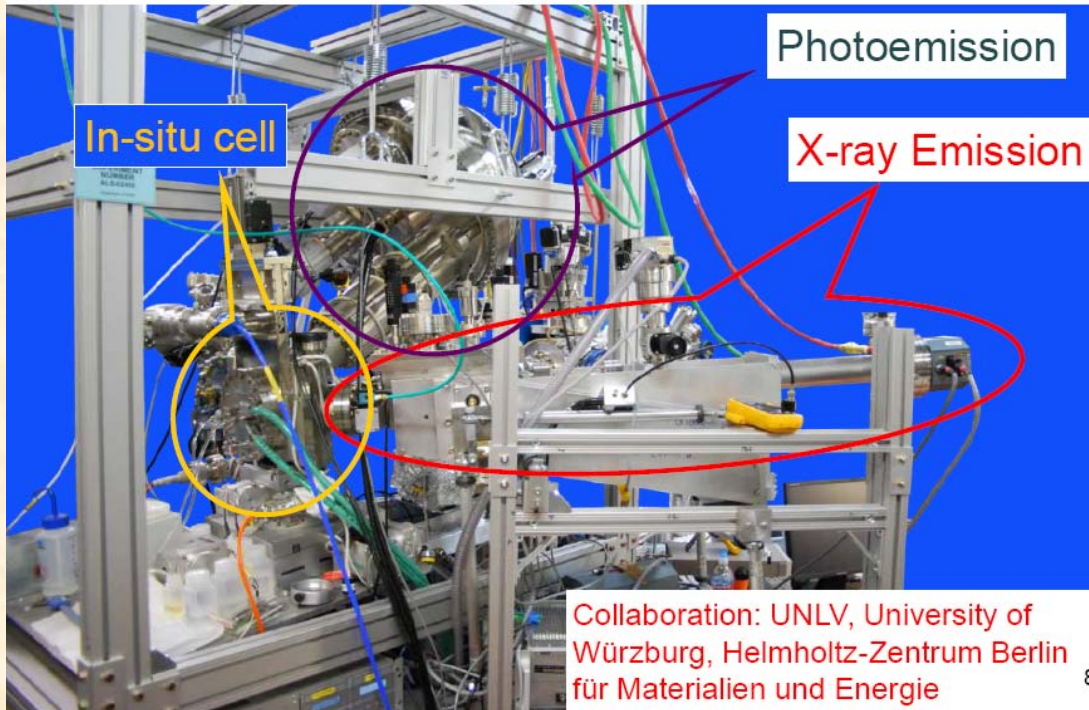
*H. Dinh (NREL) Task Leader*

- **Completed writing & reviewing of 16 standard PEC protocols**
  - *A successful telecom/webcasting collaboration among a diverse group of universities and National Labs, including NREL, DOE, Stanford University, University of Hawaii, University of Santa Barbara, University of Louisville, University of Tokyo and Australian Nuclear Science and Technology Organization*
  
- **Published Review Paper in Journal of Material Science**
  - *Introduce PEC efficiency definitions and include excerpts of the PEC test protocols*
  
- **Deployed PEC Standards Review Website (active since 10/2009)**
  - [www2.eere.energy.gov/hydrogenandfuelcells/pec\\_standards\\_review.html#standards](http://www2.eere.energy.gov/hydrogenandfuelcells/pec_standards_review.html#standards)
  - *Received 14 international requests to-date (include Australia, Denmark, Japan, Malaysia, UK, and US) to comment on the documents*
  - *Received 14 feedbacks on the various documents. All documents ranked well (5-10 out of 10)*

## ADDING NEW IN-SITU PEC INTERFACE TOOLS:

*C. Heske (UNLV) Task Leader*

**SALSA: Solid And Liquid Spectroscopic Analysis  
at Beamline 8.0, Advanced Light Source, LBNL**



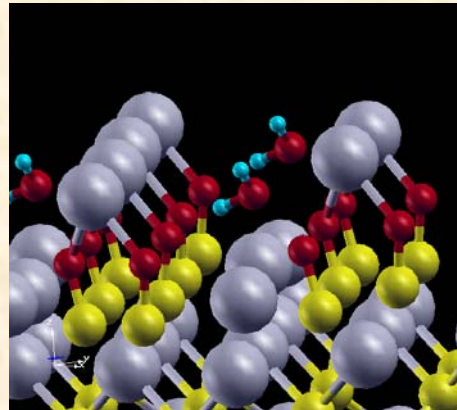
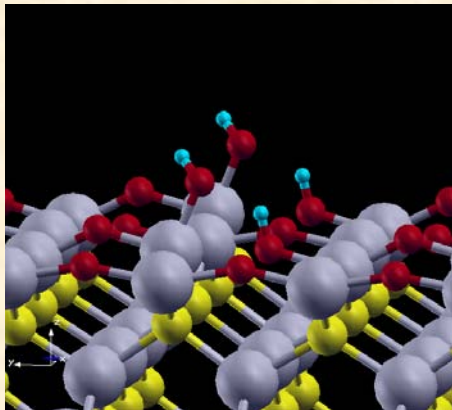
first deployment of  
in-situ tools to evaluate  
solid/liquid PEC interfaces  
in operational settings



# PEC THEORETICAL TOOLS

## ADVANCED MODELS OF THE PEC INTERFACE:

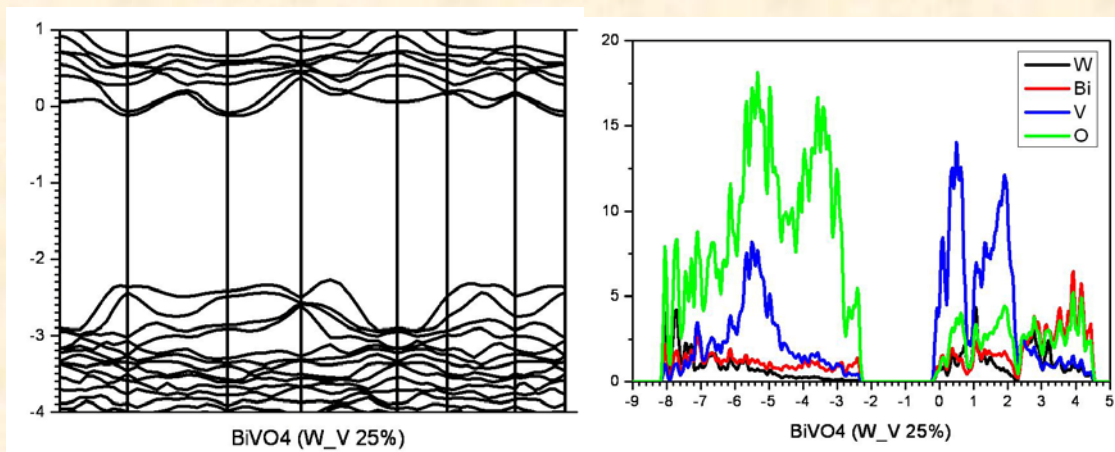
*T. Ogitsu, B. Wood (LLNL) Task Leaders*



semiconductor /  
electrolyte PEC  
junctions based on  
III-V model systems

## BAND STRUCTURES OF 'NEW' PEC MATERIALS:

*Y. Yan (NREL) Task Leader*

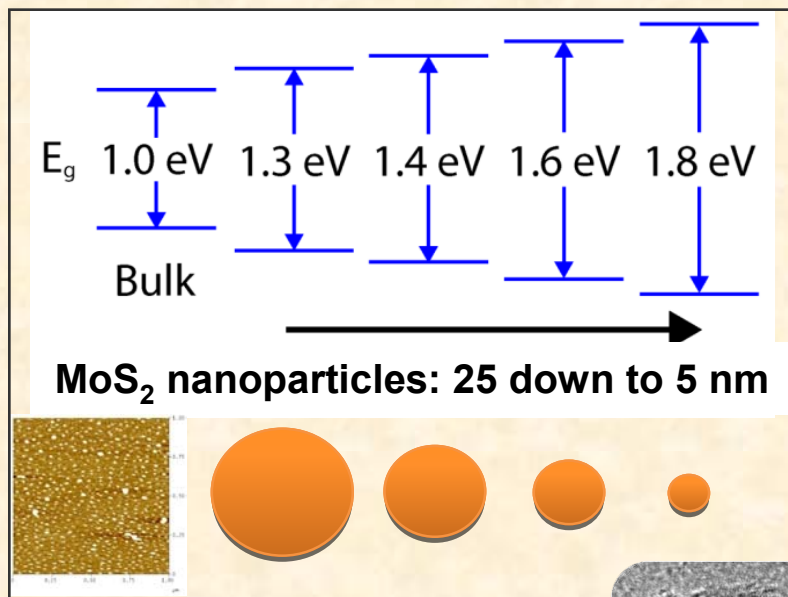


doping of BiVO<sub>4</sub>,W  
on V site – band  
structure and DOS

# PEC MATERIALS ADVANCES

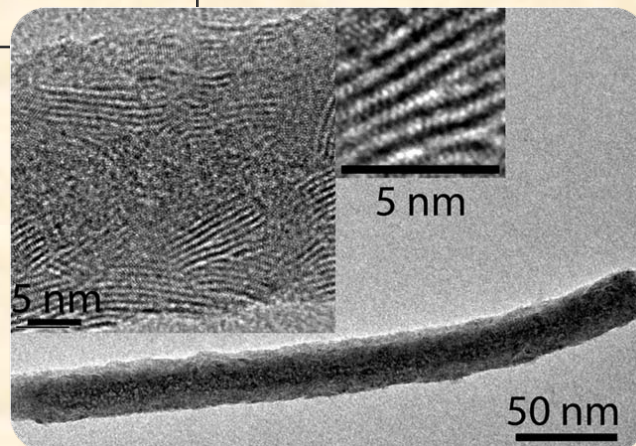
## $\text{MoS}_2$ NANOPARTICLE PHOTOCATALYSTS

T. Jaramillo (Stanford) Task Leader



$\text{MoS}_2$  nanoparticles

first demonstration of bandgap engineering in nanoparticle photocatalysts; initial exploration of photocatalyst nanorods (in collaboration with U. Louisville)

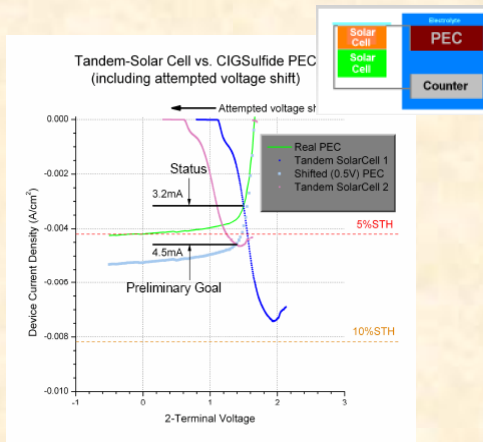


$\text{MoS}_2$  nanowires

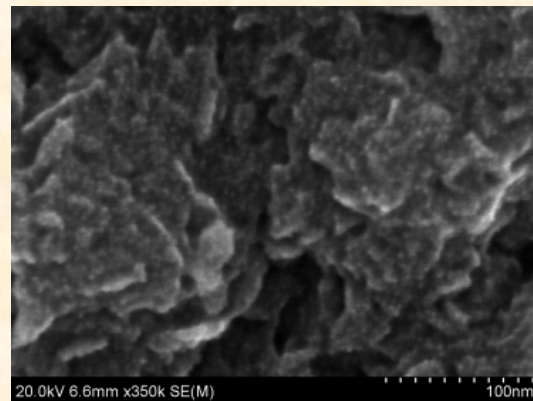
# PEC DEVICE ADVANCES

## MULTIJUNCTION ROUTES USING CGS<sub>e</sub> ABSORBERS

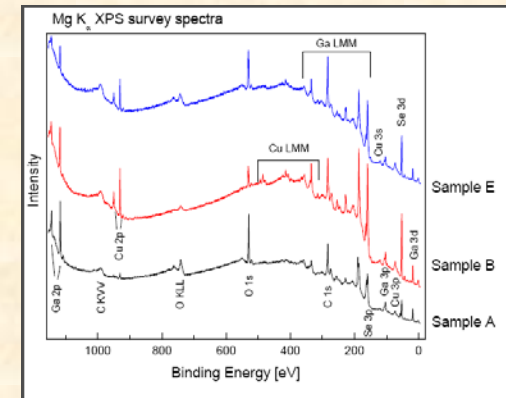
J. Kaneshiro (UH), A. Madan (MVSystems)



CGS based PEC multijunctions



catalytically enhanced CGS

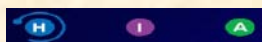


CGS surface changes under PEC

- Identified complex MJ device >5% STH using current CGS<sub>e</sub> materials
- PEC interfacial barrier identified as limit to >10%STH tandem
- New theory and characterization tools to be used to address the interface barrier problem for meeting DOE performance targets

# COLLABORATION IS KEY

- The DOE PEC Working Group encourages collaboration among its members, and with the broader research community (e.g., through the international IEA-HIA Annex-26 activities)
- Dozens of collaborative Working Group publications this past year, including journal articles, book chapters and reviewed conferences papers
- Collaboration enables effective pooling of Working Group resources in theory, synthesis, characterization and analysis
- Growing collaboration based on common research synergies in materials and interface science is the key to success. Improved organizational tools are being developed to facilitate this success



# WORKING GROUP FUTURE PLANS

- **Continued Development of the PEC Tool Chest:**
  - Improved theoretical models
  - Innovative synthesis improvements
  - Advanced ex-situ and in-situ materials characterizations
  - Continued Techno-Economics analyses
  - Continued refinement of standard testing & screening protocols
- **Continued Development of PEC Focus Class Materials:**
  - Ongoing implementation of “White Paper” approach
  - Research progress benefiting from Tool Chest advances
- **Deployment of Screening Protocols to Focus R&D:**
  - Up-selection of promising new materials classes
  - Down-selection of materials with unyielding barriers



# PEC WORKING GROUP SUMMARY

## The PROMISE: PEC is Promising for Solar-to-Hydrogen Conversion

- *Efficient STH conversion possible at low temperature operations*
- *Successful laboratory demos: >12% STH in high-cost, low durability systems, 3-4% in low-cost, high durability systems*

## The CHALLENGE: Breakthroughs are Needed for Practical PEC

- *Material systems meeting ALL required criteria are starting to appear*

## US DOE PEC Working Group is Facing the Challenge, Collaboratively

- *Building a Tool Chest of the most advanced scientific techniques*
- *Applying Tool Chest in the R&D of the most promising materials classes*
- *Building an ever-growing international network of bright young scientists to break the barriers!*



# Acknowledgements

- **The U.S. Department of Energy**
- **The International Energy Agency, and**
- **ALL the Talented, Enthusiastic and Hard-Working PEC Working Group Members**

**Mahalo Nui Loa**

